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## Thermo-Economic Optimization of Heliostat Field Distribution Considering Magnitude and Aspect Ratio of Heliostat Using Multiobjective Gray Wolf Optimizer (Case Study: Tehran)

Mona Shahbazi<sup>1</sup>, Kouros Javaherdeh<sup>2\*</sup>, Mohammad Naghashzadegan<sup>2</sup>

<sup>1</sup>Department of Mechanical Engineering, University Campus2, University of Guilan, Rasht, Iran

<sup>2</sup>Department of Mechanical Engineering, Faculty of Mechanical Engineering, University of Guilan, Rasht, Iran;

shahbazi.mona.86@gmail.com; Javaherdeh@guilan.ac.ir.

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### Abstract

This study aims to investigate the effect of heliostat geometry on the performance of a heliostat field. Rectangular reflectors, as a prevalent type of heliostats, were surveyed. The heliostat's aspect ratio significantly affects the shading and blocking factors, ultimately altering the optical efficiency of the heliostat field. The Multiobjective Gray Wolf Optimizer (MOGWO) is used to design a heliostat field composed of heliostats with optimal aspect ratios. The goal is to achieve maximum optical efficiency with the lowest Levelised Cost Of Energy (LCOE). The results indicate that optimizing the heliostat aspect ratio alone increases optical efficiency by 5.2%. However, the simultaneous optimization of the reflectors and the heliostat field can improve optical efficiency by 11%.

**Keywords:** Heliostat field, Optical efficiency, Thermo-economic optimization, Multiobjective gray wolf optimizer.

## 1 | Introduction

Solar energy, as a renewable energy source, is one of the acceptable solutions to reduce the consumption of natural gas and fossil fuels. The share of solar energy in global energy generation increased from 0.01% in 2004 to 1.05% in 2015. Numerous power plants are under construction worldwide [1]. Concentrated solar power is considered one of the most promising among solar energy technologies. It is expected that CSP could supply approximately 11% of the world's electricity needs by 2050 [2]. Over the past decades, Solar Power Tower (SPT) technology has been overtaking other technologies due to higher efficiency and ease of

 Corresponding Author: Javaherdeh@guilan.ac.ir



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scaling [3]. A classic solar tower power system consists of a solar tower, receiver, power generation parts, and thousands of giant mirrors called heliostats. The power plant usually incorporates energy storage [4]. The heliostat field accounts for about 50% of the overall cost and 40% of the system's energy losses [5], [6]. Consequently, several studies were conducted, and many codes and algorithms, such as Hfical [7], Mirval [8], Delsol [9], Campo [10], and Hfld [11], were developed to simulate the optical efficiency of the heliostat field. Suhil Kiwan [12] proposed a spiral distribution heliostat field layout with extended typical domains of the shape factors. The PSO algorithm is used to maximize the annual weighted efficiency of a 50 MWth SPT in Ma'an, Jordan. The sensitivity of annual efficiency to the shape factors and the angular position of heliostats was determined. An alternating direction method utilized by Seonghyeok Kiwan and Khammash [13] incorporated the proposed physics-based gradient of optical efficiencies to optimize a pattern-free heliostat field and a scaling factor ( $k$ ) to generate the initial layout. Recently, particular attention was paid to comparing the biomimetic spiral and radial-staggered layouts.

Zhang et al. [14] developed a rapid, accurate code to determine the optical efficiency of the aforementioned layouts. The results showed better performance of the spiral layout in the north field, since in the circular and southern square, the radial-staggered arrangement was more prosperous. Thermal and economical comparative analyses of Campo radial-staggered and biomimetic spiral layouts were carried out by Gadalla and Saghafifar [15]. Annual weighted efficiency, annual unweighted efficiency, and Levelised Cost of Energy (LCOE) were considered objective functions. Mirror size, the height of the central tower, the number of heliostats in the field, and receiver's dimensions were examined as design variables. Due to the effect of mirror geometry on efficiency, numerous heliostat shapes were surveyed by Belaid et al. [16] to obtain the optimal efficiency of the heliostat field in both layouts. The highest efficiency and lowest land area occurred in Campo radial-staggered layout by using Grasshopper Optimization Algorithm. On the other hand, the implementation of circular heliostats caused the reduction of land area.

The strong dependence of optical efficiency on numerous parameters has complicated heliostat field modeling. The optical efficiency can be affected by the size, shape, and configuration of each component. In addition, the environmental and economic conditions at the power plant construction site strongly affect efficiency [12]. Given the impact of these factors, several studies have been conducted to enhance heliostat field efficiency and reduce LCOE. The wind load effect on heliostats with different pedestal heights was analyzed experimentally [17], [18], and a mathematical model was developed. Lee and Lee [1] analyzed the effects of site slope at various latitudes and discovered the optimal site type. Eventually, the most efficient heliostat field layout was achieved based on the optimal site slope. SolarPILOT was extended and developed by Agarwal et al. [19] to design a heliostat field on hilly terrain. Inspection results of shading and blocking profiles reveal that 10% to 30% of heliostats remain unused during more than half of operating hours due to shading or blocking. Eliminating inefficient heliostats can lead to significant cost reductions.

Several methods have recently emerged due to the difficulty and time-consuming nature of simulating and optimizing the heliostat's desired objective function. Genetic algorithm with a new crossover strategy [20], Multiobjective Particle Swarm Optimization (MOPSO) [21], Hybrid PSO-GA algorithm (a combination of GA and PSO) [2], Gray Wolf optimization (GWO) [22], and a hybrid algorithm combining particle swarm optimization algorithm and genetic algorithm [23] are just a limited number of multiple developed algorithms. Different metaheuristic algorithms, including the Genetic algorithm, differential evolution, particle swarm optimization, grey wolf optimization, improved grey wolf optimization, artificial bee colony, and grasshopper optimization algorithm, were assessed by Arrif et al. [24] to optimize a PS10 plant with a staggered heliostat field. The annual weighted efficiency, used as the objective function, was comprehensively investigated, and several factors, including LCOE, land-use factor, and computational cost, were also examined. The findings indicate that evolutionary algorithms outperform crowd intelligence algorithms in terms of optimization efficiency, while Grasshopper Optimization Algorithm (GOA) and Grey Wolf Optimizer (GWO) are more convergent algorithms.

The spectacular effect of mirror geometry in concentrating sunlight has led to the investigation of diverse mirror shapes. Curved Heliostat Profiles and hexagonal reflectors are just some of the types of mirrors used. However, the effect of utilizing multi-reflector mirrors, unequal heliostats, and umbrella-like support structures instead of traditional T-shaped heliostats on the optical efficiency of the heliostat field has been studied separately [25–30]. Using the GOA, mirrors with circular, octagonal, heptagonal, hexagonal, pentagonal, and square shapes in biomimetic spiral and campo radial-staggered layouts have been optimized to achieve higher efficiency and reduced occupied area [16]. A parametric study of the effect of heliostat aspect ratio on heliostat field cost was conducted by Singhai et al. [31]. Based on the results, the heliostat with an aspect ratio of about 2 is more efficient and economical. Based on the location of the heliostat field and the technologies used, the author suggests further studies in this field. Despite these studies, the optimal heliostat size, balancing efficiency and cost, remains to be determined [32].

Based on the literature review, although various heliostat shapes have been investigated, the appropriate mirror size as an objective function has not been adequately addressed. On the other hand, optical efficiency and LCOE are not optimized simultaneously. The uniqueness of this study lies in its focus on the heliostat aspect ratio as a design parameter to achieve optimal efficiency and LCOE. Another innovation is the multiobjective optimization of the heliostat field arrangement, with optical efficiency and LCOE as objective functions. The Multiobjective Gray Wolf Optimizer (MOGWO) is used to expand the optimal heliostat layout with the best mirror size. This algorithm is used for the first time to optimize the heliostat field in a multiobjective setting.

## 2 | Mathematical Model

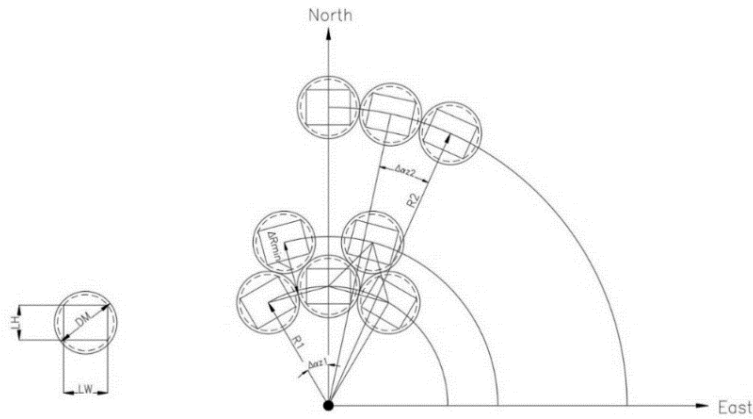
A mathematical model was developed to optimize the Campo radial-staggered configuration in two fundamental segments, generating an initial heliostat field and calculating the optical efficiency. The basic system parameters are described in *Table 1*.

**Table 1. Basic parameters for heliostat field [33], [34].**

Parameter	Value	Unit
Location	Tehran, Iran	-
Latitude	35.7° N	-
Longitude	52.5° E	-
Number of heliostats in the first row	20	-
Number of zones	3	-
Heliostat pedestal height	5	m
Receiver diameter	9.44	m
Receiver height	9.44	m
The standard deviation of sunshape	2.51	mrاد
The standard deviation of tracking error	0.63	mrاد
The standard deviation of surface error	1.88	mrاد
Mirror reflectivity _ cleanliness	0.95*0.88	-

### 2.1 | Initial Heliostat Field

The primary arrangement of the radial staggered layout was established on the Campo model, as detailed by Collado [10]. In this configuration, the heliostats are arranged on concentric circles, with the central receiver at their center. To reduce shading and blocking effects, heliostats in consecutive rows have different azimuth angles, so that each heliostat is placed between the front-ring heliostats. *Fig. 1* depicts the variables used to characterize the heliostat field layout.



**Fig. 1. Fundamental definitions for the heliostat field layout.**

The distance between the centers of contiguous heliostats represents the characteristic diameter of the heliostat indicated by DM [10].

$$DM = DH + dsep. \quad (1)$$

$$DH = \sqrt{1 + x^2} \cdot LH. \quad (2)$$

$$x = \frac{LW}{LH}. \quad (3)$$

$$dsep = y \cdot LH, \quad (4)$$

where dsep states any additional separation distance between adjacent heliostats, LH and LW are the height and width of the heliostat, respectively. X expresses one of the optimization parameters to control the area of heliostats, and Y represents another optimization parameter to adjust the azimuth angle between heliostats. The minimum radial increment is as follows [10]:

$$\Delta R_{\min} = DM \cos 30 - h \cong DM \cos 30. \quad (5)$$

For the convenience of calculation, the amount of h is neglected due to the small value of DM compared to  $R_1$  (the radius of the first row).  $Z_i$  is introduced as the subsequent optimization parameter to set the radial distance between rings. The i index refers to the zone number where the heliostat is located [2].

$$\Delta R_i = Z_i DM \cos 30. \quad (6)$$

The azimuth angle between two consecutive heliostats in each zone remains constant and is given by Eq. (7) [2], [10].

$$\Delta a_1 = 2 \sin^{-1} \left( \frac{DM}{2R_1} \right) \cong DM/R_1. \quad (7)$$

Considering the constancy of the heliostats in a ring in each zone, the azimuth angular distance between adjacent heliostats is expanded incrementally to the point where a heliostat can be placed between them. By replacing a heliostat in the unoccupied space, the next zone is formed, thus the azimuth angle in the later zones can be stated by Eqs. (8) and (9) [10].

$$\Delta a_2 = \Delta a_1 / 2. \quad (8)$$

$$\Delta a_3 = \Delta a_1 / 4. \quad (9)$$

The number of rows in each zone and the number of heliostats per row can be calculated using Eqs. (10) and (11) [10].

$$N_{\text{rows}_i} = \text{round} \left( 2^{i-1} (R_1 / \Delta R_{\min}) \right) \quad i = 1, 2, 3. \quad (10)$$

$$N_{hel_i} = 2^i \pi R_i / DM \quad i = 1, 2, 3. \quad (11)$$

The radius of the first ring of every zone can then be determined as *Eq. (12)* [34].

$$R_i = \max \left( (2R_{i-1}), (R_{i-1} + (N_{rows_{i-1}} - 1)x_{2,i-1} \Delta R_{min} + x_{2,i-1} \Delta R_{min}) \right) \quad i = 1, 2, 3. \quad (12)$$

Finally, the land area occupied by the heliostats is obtained by *Eq. (13)* [34].

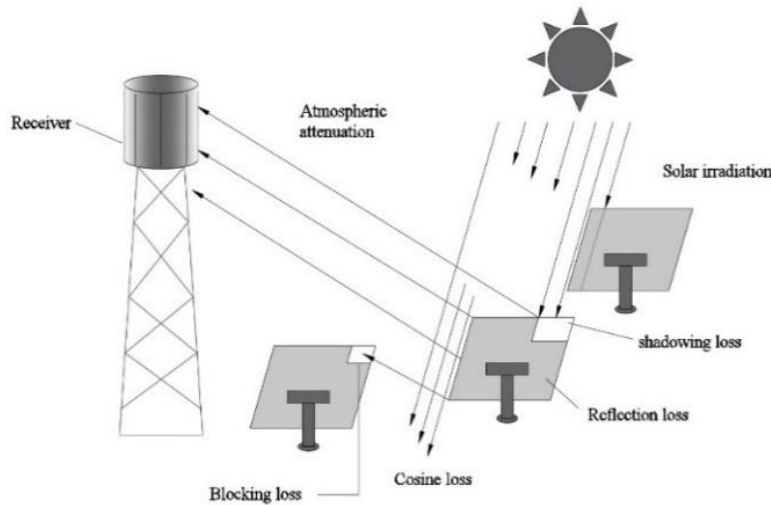
$$A_{Land} = \pi (R_{last} + 0.5DM)^2. \quad (13)$$

## 2.2 | Optical Efficiency

The instantaneous optical efficiency of a heliostat depends on time and location and is given by *Eq. (14)* [10].

$$\eta_{opt}(x, y, t) = \rho f_{cos}(x, y, t) f_{atm}(x, y) f_{int}(x, y) f_{sb}(x, y, t), \quad (14)$$

where  $\rho$  is the reflectivity of the heliostats,  $f_{cos}$  indicates the cosine factor,  $f_{at}$  expresses the atmospheric attenuation factor,  $f_{itc}$  represents the interception factor or spillage factor, and  $f_{sb}$  shows the shading and blocking factor. *Fig. 2* depicts a schematic of optical losses.



**Fig. 2.** The schematic of optical losses.

### 2.2.1 | Cosine factor

The cosine factor is expressed as the cosine of the angle between the incident sun rays and the normal vector to the heliostat surface. It can be calculated as the dot product of the unit vector [23].

$$\eta_{cos} = \vec{n} \cdot \vec{s}. \quad (15)$$

The azimuth angle, the altitude angle of the sun, and the day and hour at which the calculations are made determine the sun's position in the sky. Atif and Al-Sulaiman [35] comprehensively demonstrates the calculation of the sun vector and the heliostat position vector.

### 2.2.2 | Atmospheric attenuation factor

Atmospheric attenuation accounts for the beam losses of the reflected ray due to scattering by atmospheric molecules. Increasing the distance between the heliostat and the central receiver further increases radiation losses. The calculation is presented in *Eq. (16)*.

$$f_{atm} = \begin{cases} 0.99321 - 0.00176.D + 1.97 \times 10^{-8}.D^2 & D \leq 1000m \\ \exp(-0.0001106.D) & D > 1000m \end{cases} \quad (16)$$

### 2.2.3 | Interception factor

The fraction of the reflected rays that fail to intercept the receiver, accordingly, due to the shape of the sun, non-uniformity of the mirror surface, and tracking error, is determined as the interception factor. The UNIZAR and HFLCAL models are the proposed models widely accepted to appraise this efficiency. The HFLCAL model used in this article can be calculated by the following Gaussian distribution [33], [37].

$$n_{\text{int}} = \frac{1}{2\pi\sigma_{\text{tot}}^2} \int_x \int_y \exp\left(-\frac{x^2 + y^2}{2\sigma_{\text{tot}}^2}\right). \quad (17)$$

$$\sigma_{\text{tot}} = \sqrt{D^2 \cdot (\sigma_{\text{sun}}^2 + \sigma_{\text{bq}}^2 + \sigma_{\text{ast}}^2 + \sigma_{\text{track}}^2)}, \quad (18)$$

where  $\sigma_{\text{tot}}$  is the total standard deviation, which consists of four primary components: the sun shape error  $\sigma_{\text{sun}}$ , the mirror slope error  $\sigma_{\text{bq}}$ , the astigmatic effect  $\sigma_{\text{ast}}$ , and the tracking error  $\sigma_{\text{track}}$ . Furthermore,  $D$  represents the distance between the heliostat center and the aim point in the receiver and  $\sigma_{\text{tot}}$  can be determined by Eqs. (19)-(21) [33].

$$\sigma_{\text{ast}} = \frac{\sqrt{1/2 (H_t^2 + W_s^2)}}{4D}. \quad (19)$$

$$H_t = \sqrt{LW \times HW} \left| \frac{D}{f} - \cos\omega \right|. \quad (20)$$

$$W_s = \sqrt{LW \times HW} \left| \frac{D}{f} \cos\omega - 1 \right|, \quad (21)$$

where  $LW$  and  $LH$  are the width and height of a heliostat, respectively,  $\omega$  represents the incidence angle, and  $f$  expresses the focal distance, considered equal to  $D$ .

### 2.2.4 | Shading and blocking factor

A dense arrangement of heliostats can exclude sunbeams from reaching a part of a heliostat or prevent a part of the reflected ray of a heliostat from meeting the central tower. In the first case, the shading effect occurs, and in the second, the blocking appears. Shading and blocking efficiency is as much a function of the sun's position as it is of the neighboring heliostats' positions.

Therefore, calculating the shading and blocking efficiency is the most complex factor in determining the heliostat field's overall efficiency and requires substantial computation. Noteworthy studies have been done on the shading and blocking effect [14], [34], [38–40]. The calculation of optical losses caused by this factor can be divided into two main steps: identifying heliostats with the potential to shade or block, and calculating the effects of shading and blocking. The analytical method, Monte Carlo simulation, and geometric method are recommended for calculating shading and blocking efficiency [41]. Although the Monte Carlo simulation based on the ray-tracing method is considered the most accurate, the geometric approach is the most widely used in studies because of its convenience and computational efficiency.

This article used the Besarati and Goswami method [42] to determine the heliostats with shading and blocking capabilities. A circle with a diameter of  $2.5DM$  is considered. The circle's center is the understudied heliostat, and  $DM$  is the heliostat diagonal in the densest state. The three heliostats with the closest distance to the image of the sun vector on this circle are selected as shading heliostats.

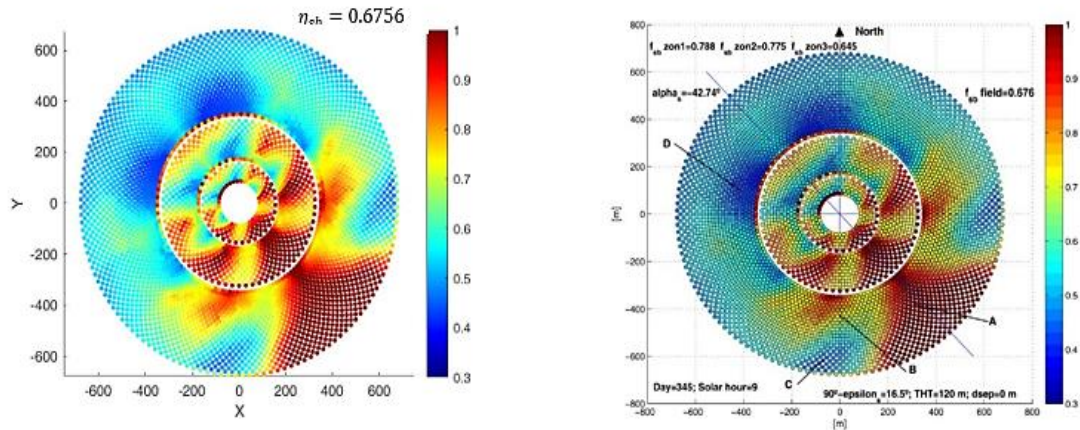
In comparison, the three heliostats with the smallest distance from the central receiver vector are introduced as blocking heliostats. Finally, the Sassy [43] method is used to calculate the shading and blocking coefficient. An in-depth analysis of the applied process is present in Ref [41].

## 2.3 | Validation of Optical Efficiency

The obtained results are validated against the outcomes reported in Refs. [22], [33] and [10]. The comparison results listed in *Table 2* emphasize a close match. Also, according to *Fig. 3*, each heliostat's shading and blocking efficiency is consistent with the Collado efficiency [10].

**Table 2.** The comparison of the efficiency terms.

	December 21 Solar Time 9		June 21 Solar Noon	
	Reference [33]	Our Model	Reference [33]	Our Model
$\eta_{\cos}$	72.91%	73.14%	83.80%	83.24%
$\eta_{\text{int}}$	98.85%	98.62%	99.79%	99.67%
$\eta_{\text{at}}$	95.46%	95.26%	95.46%	95.26%



**Fig. 3.** Comparison of shading and blocking efficiency for each heliostat [10].

## 2.4 | Levelized Cost of Energy

The LCOE is considered for the enhancement of economic performance. LCOE can be stated by *Eq. (22)* [29].

$$\text{LCOE} = \frac{\left[ \frac{i[1+i]^{n_{\text{opr}}}}{[1+i]^{n_{\text{opr}}}-1} + r_{\text{ins}} \right] Z_{\text{mir}} + Z_{\text{wire}} + Z_{\text{Land}} + Z_{\text{opr}} + Z_{\text{mai}}}{E_{\text{net}}} \quad (22)$$

$Z_{\text{mir}}$  is taken here to include the heliostat costs and can be calculated by the following equation [29], [44].

$$Z_{\text{hel}_{k,i}} = F_{\text{hel}_{k,i}} A_{\text{hel}_{k,i}} N_{\text{hel}_{k,i}} \quad (23)$$

$$F_{\text{hel}_{k,i}} = 40 + 11.44(A_{\text{hel}})^{\frac{1}{2}} + \frac{3000}{A_{\text{hel}}} \quad (24)$$

$$Z_{\text{mir}} = \sum_{k=1}^{N_{\text{zone}}} \sum_{i=1}^{N_{\text{row}_k}} Z_{\text{hel}_{k,i}} \quad (25)$$

$Z_{\text{Land}}$  and  $Z_{\text{wire}}$  represent the land charge and wiring costs, respectively, which equations can define. (25) and (26) [9], [21], [45].

$$Z_{\text{Land}} = 0.62(1.5A_{\text{Land}} + 1.8 \times 10^5) \quad (26)$$

$$Z_{\text{wire}} = \sum_{i=1}^{\text{cell}} N_{\text{hel,cell},i} \left[ 0.031r_{\text{cell},i} + 24 \sqrt{\frac{A_{\text{hel}}}{\rho_{\text{cell},i}}} \right], \rho_{\text{cell},i} = \frac{N_{\text{hel,cell},i} A_{\text{hel}}}{A_{\text{cell},i}} \quad (27)$$

The maintenance cost is considered equivalent to 3% of the initial capital costs, and annual water consumption for mirror washing determines the operating cost, calculated using the following equation, according to the US Department of energy report [46].

$$V_{w,mw} = 0.05A_{hel}N_{hel}. \quad (28)$$

$E_{net}$  is the annual thermal energy provided by the field regardless of the occurring losses in the receiver [47]. All design variables for the economic model are tabulated in *Table 3*.

**Table 3. The design variables for the economic model.**

Parameter	Value
Field number of cells	100
Field life cycle (years)	25
Loan interest rate	7%
Insurance rate	1%
Demineralized water cost (US\$/m <sup>3</sup> )	1.15

### 3 | Multiobjective Optimization

#### 3.1 | Establishment of the Objective Function

Optimizing a heliostat field typically involves two primary factors: the daily-average annual optical efficiency and the LCOE. The inherently time-consuming nature of the daily-averaged annual optical efficiency calculations led Al-Suleiman to suggest monthly-averaged annual optical efficiency as an alternative. Given the same results, the monthly-averaged annual optical efficiency served as the first objective function in this study.

$$n_{maa} = \frac{\sum_{j=1}^{12} \int_{t=\text{sunrise}}^{t=\text{sunset}} n_{opt} dt}{\sum_{j=1}^{12} \int_{t=\text{sunrise}}^{t=\text{sunset}} dt}. \quad (29)$$

LCOE is the previously defined objective function.

#### 3.2 | Multiobjective Grey Wolf Optimizer

The GWO is a recent metaheuristic optimization algorithm proposed by Mirjalili et al. [48], inspired by the hierarchy and hunting behavior of gray wolves. Later, Mirjalili [49] proposed the multiobjective version to optimize multi-criterion problems in 2016. GWO is used to solve various energy problems [50–53], including the heliostat field.

Xie et al. [22] recommended the GWO for multiobjective purposes in the heliostat field. As demonstrated by Arrif et al. [24], GWO delivers the best optimization results among swarm intelligence algorithms and is considered a fast-converging method.

Hierarchy in gray wolves involves four main orders. Alpha wolves, consisting of a male and a female, act as pack leaders and make key decisions. Beta wolves are ranked second, helping alpha wolves make decisions. Betas obey alpha wolves and command other wolves. The lowest category includes omega wolves.

Although these wolves seem insignificant, the loss of Omega can lead to conflicts and internal problems. If a wolf does not fit into any of these classifications, it is a delta wolf. Delta is considered a mid-range wolf, superior to omega wolves despite obeying the alpha and Beta [48].

In mathematical modeling, the fittest solution is defined as alpha, and Beta and Delta are the next classifications.  $\alpha$ ,  $\beta$ , and  $\delta$  are the leaders in finding the optimal solution, and the wolves  $\omega$  follow these groups in the objective space. The following equations describe the model [48]:

$$\vec{D}_\alpha = |\vec{C}_1 \cdot \vec{X}_a - \vec{X}|. \quad (30)$$

$$\vec{D}_\beta = |\vec{C}_2 \cdot \vec{X}_\beta - \vec{X}|. \quad (31)$$

$$\vec{D}_\delta = |\vec{C}_3 \cdot \vec{X}_\delta - \vec{X}|. \quad (32)$$

$$\vec{X}_1 = \vec{X}_\alpha - \vec{A}_1 \cdot (\vec{D}_\alpha). \quad (33)$$

$$\vec{X}_2 = \vec{X}_\beta - \vec{A}_2 \cdot (\vec{D}_\beta). \quad (34)$$

$$\vec{X}_3 = \vec{X}_\delta - \vec{A}_3 \cdot (\vec{D}_\delta). \quad (35)$$

$$\vec{X}(t+1) = \frac{\vec{X}_1 + \vec{X}_2 + \vec{X}_3}{3}. \quad (36)$$

In multiobjective optimization, an archive of non-dominated solutions from past iterations is maintained, and alpha, Beta, and delta are selected as the leaders of the hunting process.

### 3.3 | Decision-Making in the Multiobjective Optimization

The Pareto-optimal front provides a set of optimal solutions. Therefore, any point in this diagram can be an optimal solution according to the design conditions. However, various methods have been proposed to find the optimal solution on the Pareto frontier so far. Bellman–Zadeh, LINMAP, and TOPSIS are just a limited number of the approaches presented in the fully described [54].

Here, the TOPSIS method is described and implemented. First, the dimensions and scale of the objective function space are normalized as follows [55].

$$\eta_{opt,i}^* = \eta_{opt,i} / \sqrt{\sum_{i=1}^n \eta_{opt,i}^2} \quad i = 1, 2, \dots, n. \quad (37)$$

$$LCOE_i^* = LCOE_i / \sqrt{\sum_{i=1}^n LCOE_i^2} \quad i = 1, 2, \dots, n. \quad (38)$$

Then, the ideal point is defined as the point at which each objective is optimized. On the other hand, the non-ideal point is the ordinate with the worst value of each objective.

Due to the conflict between the objective functions, these points cannot lie on the Pareto front. The distance of each point on the Pareto front with ideal and non-ideal points ( $d_1$  and  $d_2$ , respectively) specifies the fitness of that solution.

$$d_{i,+} = \sqrt{(\eta_{opt,i}^* - \max(\eta_{opt,i}^*))^2 + (LCOE_i^* - \min(LCOE_i^*))^2} \quad i = 1, 2, \dots, n. \quad (39)$$

$$d_{i,-} = \sqrt{(\eta_{opt,i}^* - \max(\eta_{opt,i}^*))^2 + (LCOE_i^* - \min(LCOE_i^*))^2} \quad i = 1, 2, \dots, n. \quad (40)$$

Finally, a closeness parameter is determined as follows:

$$C_i = \frac{d_{i,-}}{d_{i,+} + d_{i,-}} \quad n = 1, 2, \dots, n. \quad (41)$$

The maximum value of  $C_i$  can be suggested as the final optimal solution:

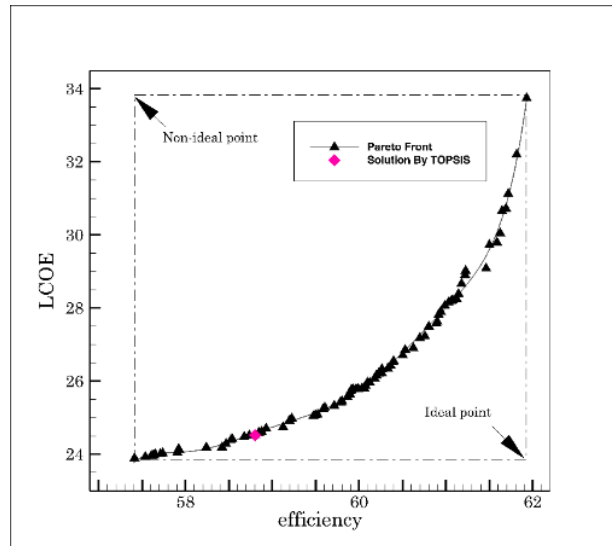
$$i_{final} = i \in \max\left(\frac{d_{i,-}}{d_{i,+} + d_{i,-}}\right) \quad i = 1, 2, \dots, n, \quad (42)$$

where  $n$  is the number of points on the Pareto front.

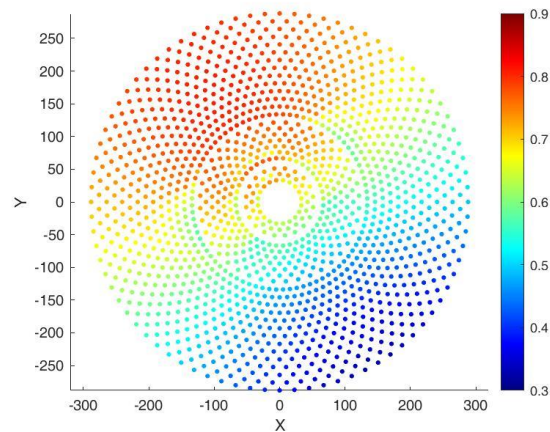
## 4 | Result and Discussion

The GWO algorithm was implemented to survey the heliostat field located in Tehran (52.5 E, 35.7 N). Optical efficiency and LCOE were simultaneously optimized as objective functions. *Fig. 4* shows the Pareto front from the optimization, illustrating the trade-off between optical efficiency and LCOE.

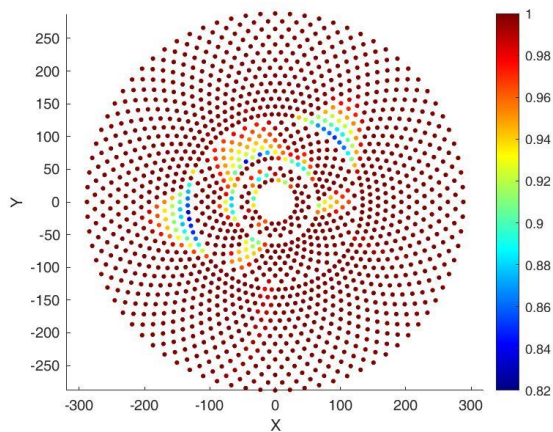
The increase in optical efficiency from 57.4% to 61.9% is evident at the expense of increasing LCOE from 23.88 to 33.74. The TOPSIS technique's final solution is marked on the graph. The corresponding contours of optical efficiency, shading, and blocking factor for the selected solutions are depicted in *Fig. 5*.



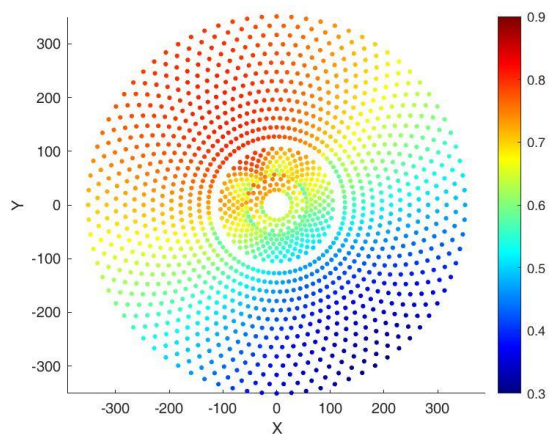
**Fig. 4.** Pareto frontier after optimization by MOGWO.



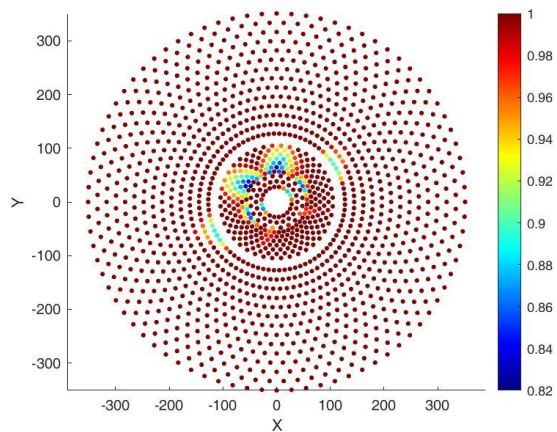
**a1.**



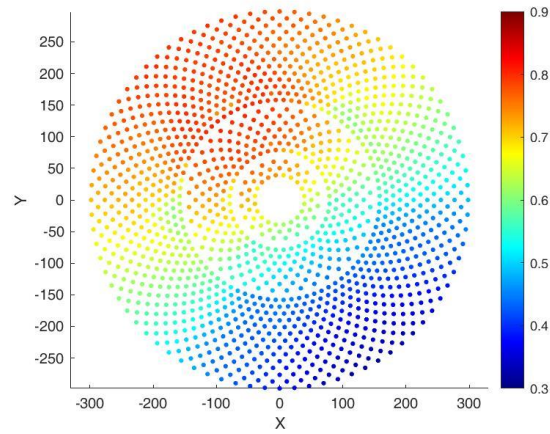
a2.



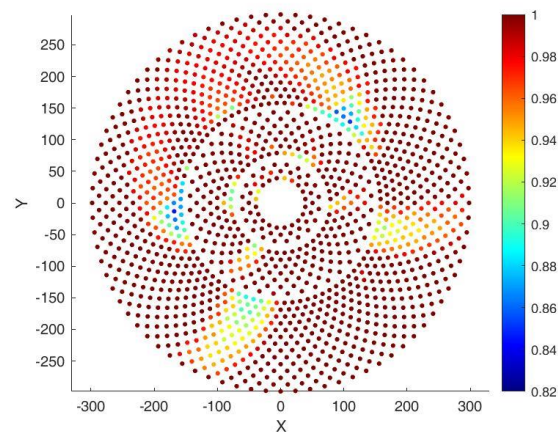
b1.



b2.



c1.



c2.

**Fig. 5. Contours of optical efficiency 1) and shading and blocking factor 2) of; a. the TOPSIS solution, b. the most efficient, and c the lowest LCOE point at 10 a.m. in the winter solstice.**

Table 4 illustrates the characteristics of some points, including the TOPSIS solution, the most efficient, and the lowest LCOE point. The optical efficiency reaches a climax at a square shape. By contrast, at the lowest LCOE point, the aspect ratio rises to 2.2747. The least land occupied is suggested by the TOPSIS method, where a 37.5% decrease in LCOE can be achieved in exchange for a 5% decrease in optical efficiency relative to the maximum efficiency point. To recapitulate, the optimal solution occurs in a domain of aspect ratio from 1 to 2.2747, which is inversely proportional to efficiency and directly proportional to LCOE.

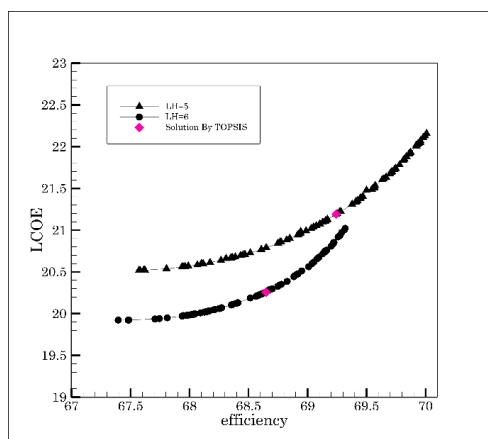
**Table 4. The features of the selected solution on the Pareto frontier.**

	<b>TOPSIS Method</b>	<b>The Most Efficient Solution</b>	<b>The Lowest LCOE Solution</b>
Monthly averaged annual optical efficiency	0.588	0.6193	0.5741
n_cosine	0.776	0.7718	0.7695
n_atmosphetic	0.9514	0.9496	0.9501
n_Intercept	0.9833	0.9833	0.9832
n_shading and blocking	0.8947	0.9596	0.8809
Area of the Heliostat	46.0457	25	56.8674
Aspect Ratio	1.8418	1	2.2747
LCOE	24.5246	33.7447	23.8886
Area of the field	270400	397290	290860

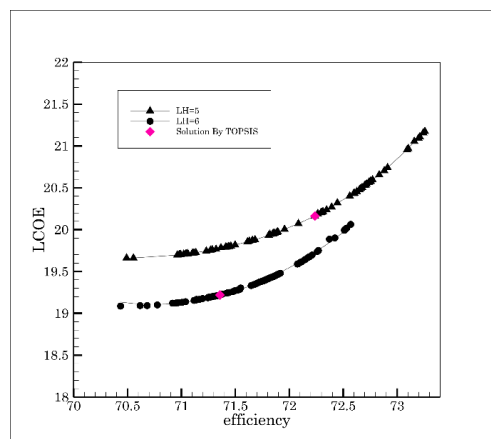
For further investigation, the Pareto front is illustrated at the spring equinox (a), summer solstice (b), autumn equinox (c), and winter solstice (d) with different heliostat lengths in Fig. 6. Despite the variation in the optical efficiency and LCOE, all the graphs have the same behavior. In all cases, increasing the heliostat's length results in a slight decline in optical efficiency. However, it decreases the LCOE in most diagrams, except at the winter solstice, where optical efficiency is lower than on other days. Accordingly, the Pareto front takes place in a larger domain. Table 5 compares the optimal heliostats selected by the TOPSIS method. Optical efficiency and corresponding LCOE are also provided. As shown, the LCOE coefficient remains unchanged despite the leap in optical efficiency as a result of an increase in the length of the heliostat at the winter solstice at the TOPSIS selected solution. Moreover, the results closest in terms of aspect ratio and optical efficiency to annual optimization occur at the winter solstice. That is why the results are presented later at the winter solstice.

**Table 5. Comparing the optimal heliostats selected by the TOPSIS method.**

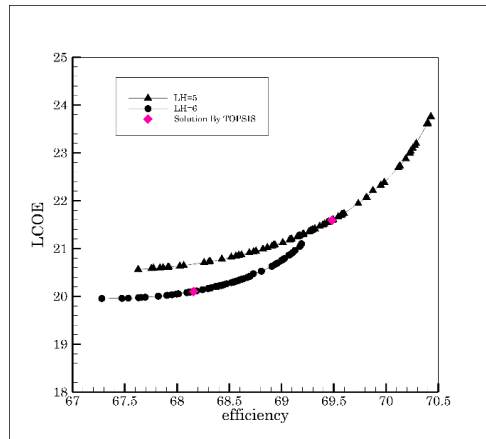
		Aspect Ratio	A_h	Efficiency	LCOE
Spring equinox	LH=5	1.4312	35.7807	69.2412	21.1906
	LH=6	1.1977	43.1181	68.6479	20.2563
Summer solstice	LH=5	1.4722	36.8053	72.237	20.1629
	LH=6	1.2888	46.3980	71.3579	19.2195
Autumn equinox	LH=5	1.3249	33.1236	69.484	21.5937
	LH=6	1.2955	46.6413	68.1615	20.10307
Winter solstice	LH=5	1.79	44.752	62.0111	23.2563
	LH=6	1.49	53.1024	61.138	23.1038



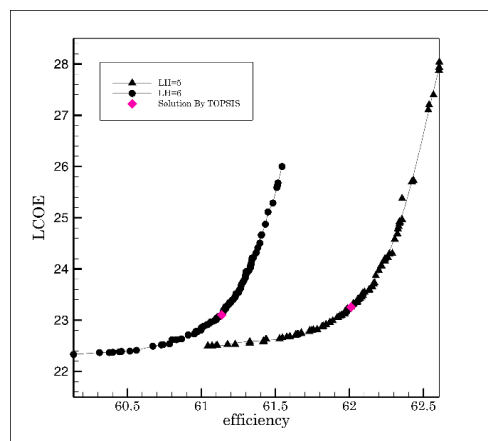
**a.**



**b.**



c.



d.

**Fig. 6.** The Pareto front at the spring equinox; a), summer solstice b), autumn equinox c), and winter solstice d), and with different heliostat lengths at 10 a.m. in winter solstice.

Subsequently, given the responsibility of heliostat length in the final optimal solution, heliostats with constant area were optimized to determine the optimal dimensions. The optimization results determine the domain of aspect ratio. The results are optimized for two cases: 1) Traditional heliostats used in solar power plants and 2) The formerly optimized heliostats. As the patterns in *Fig. 7* show, reducing the mirrors' area from the common size to the optimized values increases efficiency. On the contrary, the LCOE declined.

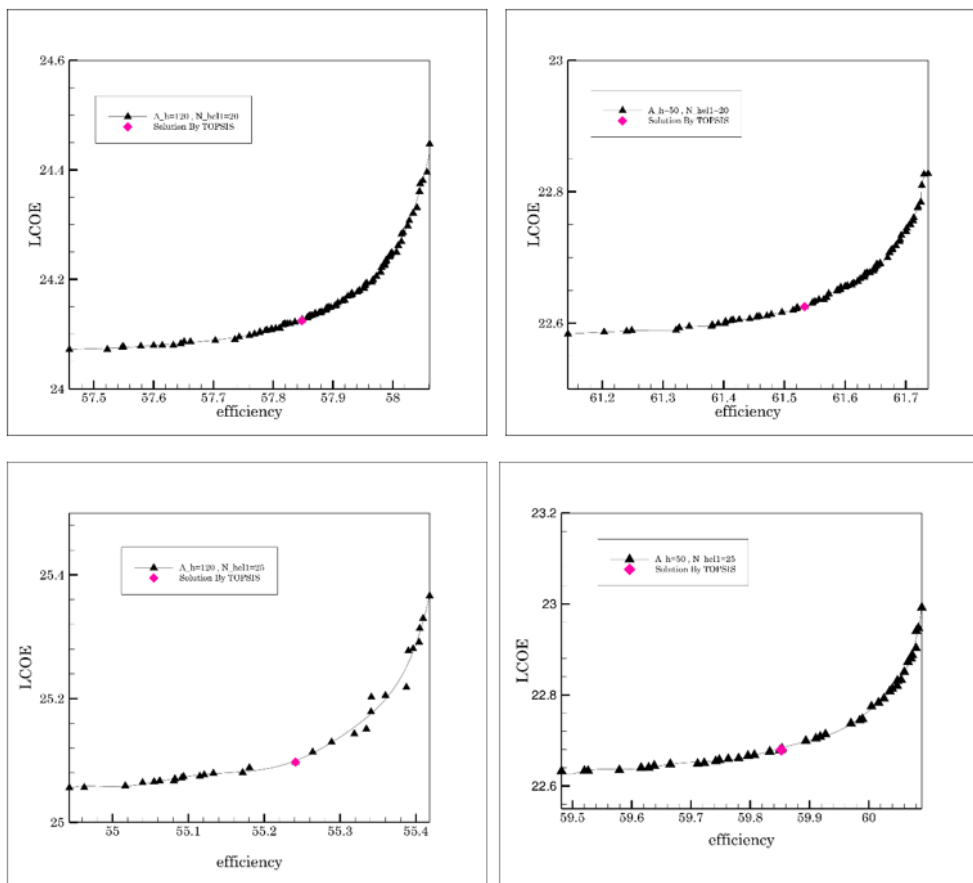


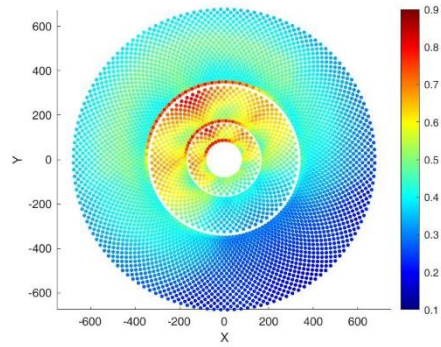
Fig.7. Pareto front of heliostats with different areas at 10 a.m. on the winter solstice.

Table 6 provides additional specifications for the graphs in Fig. 7. Generally, the aspect ratio of the Pareto front increases as the heliostat area decreases. In contrast, the number of heliostats does not affect the aspect ratio. On the other hand, as the number of heliostats increases, the shading and blocking efficiency decreases, ultimately leading to a decrease in optical efficiency.

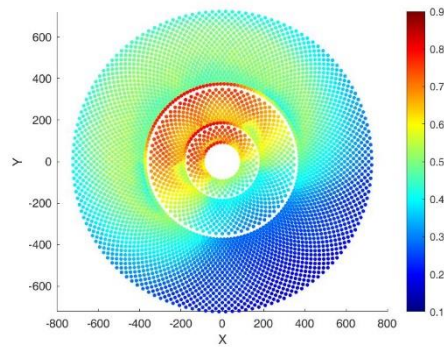
Table 6. Comparing the performance of heliostats with different areas.

	A_h=120		A_h=50	
	N_hel1=20	N_hel1=25	N_hel1=20	N_hel1=25
Optical efficiency (TOPSIS solution)	57.8478	55.24	61.53	59.8524
Aspect ratio domain (m)	[1.78 1.93]	[1.76 1.89]	[1.92 2]	[1.87 2]
LCOE (TOPSIS solution)	24.1245	25.0973	22.625	22.6795
Area of the field (TOPSIS solution)	626280	1055200	250700	989710

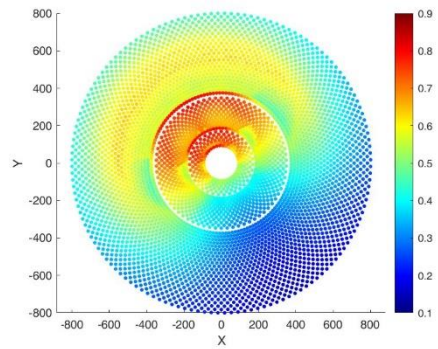
Applying optimum heliostats in a larger solar power plant can significantly improve the performance of the heliostat field. As Table 7 shows, the optical efficiency increased to 44.85% due to a 7% increase in the shading and blocking factor at 10 a.m. on the winter solstice. The thermal energy provided by the field increased by 5.19% after optimization, and a 3.6 decrease in LCOE is evident. On the downside, the field's area expanded to 1680300 m<sup>2</sup>. It is worth noting that heliostat optimization has been achieved solely by modifying the aspect ratio of the constant-area heliostat. Substituting the optimum heliostats in the optimized heliostat field exceeded the optical efficiency by 11%. Likewise, the thermal energy provided by the field and the LCOE increased by 10% and 6.4%, respectively. Fig. 8 and Fig. 9 show the optical efficiency of each heliostat and the corresponding shading and blocking effect, respectively.



a.

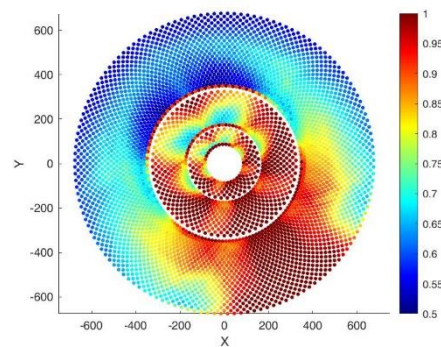


b.

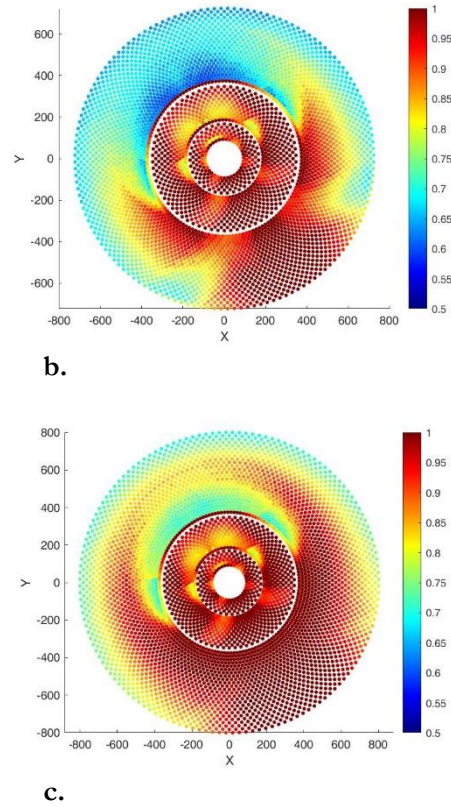


c.

**Fig. 8.** Optical efficiency of each heliostat; a) before optimization, b) optimal heliostat, c) optimal field and heliostat.



a.



**Fig. 9. Shading and blocking factor of each heliostat (a) before optimization, (b) optimal heliostat, (c) optimal field and heliostat.**

**Table 7. Optimization parameters and comparison of objective functions before and after optimization.**

Parameter	Before Optimization	Optimal Heliostat	Optimal Field and Heliostat
Optimization result			
height of the heliostat	9.7521	8.189	8.189
width of the heliostat	12.3051	14.6539	14.6539
y	-	0	0.007
Z <sub>1</sub>	-	1	1.033
Z <sub>2</sub>	-	1	1
Z <sub>3</sub>	-	1	1.2144
Energy and economic result			
Number of heliostats	4550	4550	4550
Optical Efficiency (%)	42.63	44.85	47.32
n <sub>cosine</sub>	71.88	71.55	71.33
n <sub>atmosphertic</sub>	94.29	94	93.73
n <sub>Intercept</sub>	96.42	95.47	94.1
n <sub>shading and blocking</sub>	78.05	83.54	89.95
LCOE	31.0064	29.8887	29.0248
Area of the Field (m <sup>2</sup> )	1469900	1680300	2057200
E <sub>net</sub> (kWh)	475340	500030	527590

## 5 | Conclusions

The mirrors' aspect ratio was optimized based on the influence of heliostat geometry on the optical efficiency of the heliostat field. Optical efficiency and LCOE were considered objective functions. The results were optimized using the multiobjective gray wolf algorithm, and Pareto fronts were presented for heliostats with different lengths and varying areas. The ultimate solutions were applied to large solar power plants. Optical

efficiency, shading and blocking factor, LCOE, thermal energy provided by the field, and area occupied by the heliostat field were compared. Substitution of heliostats with optimal aspect ratios led to a significant improvement in the performance of the heliostat field, although the construction area of the heliostat field increased noticeably. Since heliostats are generally built in areas with low land value, and given the economic analyses presented in this article, replacing reflectors with optimized dimensions can yield a significant improvement in the performance of the heliostat field in terms of thermodynamics and economics.

## Conflict of Interest

The authors declare no conflict of interest.

## Data Availability

All data are included in the text.

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## Appendix

**Table A1. Nomenclature and symbols used in heliostat field analysis.**

Nomenclature		Int	Interception
A	Surface area [m <sup>2</sup> ]	Land	field of heliostat
D	Distance between the heliostat center and the aim point in the receiver [m]	maa	monthly averaged annual
DH	Heliostat diagonal [m]	mai	Maintenance
DM	Characteristic diameter [m]	mir	Mirror
dsep	Extra security distance [m]	opr	Operation
E <sub>net</sub>	Annual thermal energy [MWh]	opt	optical
F	Heliostat cost per unit area factor [US\$/m <sup>2</sup> ]	sb	Shading and blocking
LCOE	Levelized Cost Of Energy	w	Water
LH	height of the heliostat [m]	List of Greek symbols	

Table A1. Continued.

Nomenclature	Int	Interception	Nomenclature
LW	width of the heliostat [m]	$\Delta a_i$	azimuthal spacing between adjacent heliostats in the $i$ th zone
$\vec{n}$	unit normal vector of the heliostat	$\Delta R_i$	radial distance between the rows of heliostats in the $i$ th zone, m
$n_{opr}$	Field life time cycle	$\Delta R_{min}$	minimum radial distance between the rows of heliostats
$N_{hel\_i}$	number of heliostats in the $i$ th zone	$\eta$	Efficiency
$N_{rows\_i}$	number of rows of heliostats in the $i$ th zone	$\rho$	reflectivity of the heliostat
$R_i$	radius of first row of heliostat of $i$ th zone [m]	$\sigma_{ast}$	standard deviation of astigmatic error
$\vec{s}$	unit vector pointing toward the sun	$\sigma_{bd}$	standard deviation of beam quality error
Z	Capital investment cost [US\$]	$\sigma_{sun}$	standard deviation of sunshape error
Subscript		$\sigma_{tot}$	total standard deviation on the receiver plane
atm	Atmospheric attenuation	$\sigma_{track}$	standard deviation of tracking error
cos hel	Cosine Heliostat	$\omega$	incidence angle